

TRANSMITTAL FORM FOR NATIONAL STAGE APPLICATIONS FILED UNDER 35 U.S.C. §371	ATTORNEY DOCKET NO. 70075.0009USWO
	BASED ON INTERNATIONAL APPLICATION NO. PCT/BE2004/000111
	INTERNATIONAL FILING DATE August 4, 2004
INVENTOR(S) Arthur M. DEBOECK et al.	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/567,407
TITLE OF APPLICATION SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN HOMOCYSTEINE REDUCING AGENT	

ADDRESS TO:	Mail Stop: PCT Commissioner for Patents P.O. BOX 1450 ALEXANDRIA, VA 22313-1450
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ENCLOSURES

- ☒ Transmittal Form For National Stage Applications Filed Under 35 U.S.C. §371
☒ Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. §371 (In Duplicate)
☒ Fee Transmittal (In Duplicate)
☒ Oath or Declaration of the Inventor(s) {35 U.S.C. §371(c)(4)}
☒ Information Disclosure Statement
☒ PTO Form 1449 (1 Sheet)
☒ Cited Documents (4 pp)
☒ International Preliminary Report on Patentability (6 pp)
☒ Change of Correspondence Address
☒ Notification of Missing Requirements (Return Copy)
☒ Response to Notification of Missing Requirements
☒ Request for Extension of Time
☒ List of Practitioners to be Recognized by the Office as Being of Record
☒ Return Postcard

☒ Please charge Deposit Account No. 13-2725 in the amount of \$250.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the above-noted documents, including any fees required under 35 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time.

CORRESPONDENCE ADDRESS

☒ The address associated with Customer Number: **23552** OR ☐ the correspondence address below.

Name

Address

City

State

Zip Code

NAME	William E. Beaumont	REGISTRATION NO.	30,996
SIGNATURE	<i>William E. Beaumont</i>	DATE	November 13, 2006
NAME		TELEPHONE	202 326-0300
		REGISTRATION NO.	

FEE TRANSMITTAL

ATTORNEY DOCKET NO.

70075.0009USWO

U.S. APPLICATION SERIAL NO.

10/567,407

CONFIRMATION NO.

9466

FILING DATE

Not Assigned

INVENTOR(S)

Arthur M. DEBOECK et al.

EXAMINER

Not Assigned

GROUP ART UNIT

N/A

TITLE OF APPLICATION

SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN HOMOCYSTEINE REDUCING AGENT



Applicant claims small entity status. See 37 CFR 1.27.
Certain fees are reduced by 1/2.

TOTAL AMOUNT OF PAYMENT

\$250.00

☒ Please charge Deposit Account No. 13-2725 in the amount of \$250.00 to cover any required fees. In the event any variance exists between this amount and the Patent Office charges for filing the documents noted below, including any fees required under 37 CFR 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 13-2725. Further, if these papers are not considered timely filed, then a request is hereby made under 37 CFR 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed for fee processing.

The following fees have been submitted:

APPLICATION FEES

	FEE CODE	DESCRIPTION	FEE	CALCULATE
<input type="checkbox"/>	1011	Basic Filing Fee - Utility	\$300.00	
<input type="checkbox"/>	1111	Utility Search Fee	\$500.00	
<input type="checkbox"/>	1311	Utility Examination Fee	\$200.00	
<input type="checkbox"/>	1012	Basic Filing Fee - Design	\$200.00	
<input type="checkbox"/>	1112	Design Search Fee	\$100.00	
<input type="checkbox"/>	1312	Design Examination Fee	\$130.00	
<input type="checkbox"/>	1005	Provisional Application Filing Fee	\$200.00	
<input type="checkbox"/>	1014	Basic Filing Fee - Reissue	\$300.00	
<input checked="" type="checkbox"/>	1051	Surcharge - Late Filing Fee, Search Fee, Examination Fee or Oath or Dec.	\$130.00	\$130.00
<input type="checkbox"/>	1801	Request for Continued Examination	\$790.00	
<input type="checkbox"/>	1814	Terminal Disclaimer	\$130.00	
<input type="checkbox"/>	1452	Petition to Revive Unavoidably Abandoned Application	\$500.00	
<input type="checkbox"/>	1453	Petition to Revive Unintentionally Abandoned Application	\$1,500.00	
SUB TOTAL				\$ 130.00

EXTENSION OF TIME FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input checked="" type="checkbox"/>	1251	Extension for Response Within the First Month	\$120.00	\$120.00
<input type="checkbox"/>	1252	Extension for Response Within the Second Month	\$450.00	
<input type="checkbox"/>	1253	Extension for Response Within the Third Month	\$1,020.00	
<input type="checkbox"/>	1254	Extension for Response Within the Fourth Month	\$1,590.00	
<input type="checkbox"/>	1255	Extension for Response Within the Fifth Month	\$2,160.00	
Credit for Extensions Previously Paid				
SUB TOTAL				\$ 120.00

APPLICATION SIZE FEES

☐ Additional Fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 sheets or fraction thereof (round up to whole no.)	RATE	
11/15/2006 LLANDGRA 00000036 132785 10567407 0-100 /50=			x \$250.00 =	\$0.00

11/15/2006

01 FC:1617

130.00 DA

DOCKET NO. 70075.0009USWO	U.S. APPLICATION SERIAL NO. 10/567,407	FILING DATE Not Assigned
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CLAIM FEES

CLAIMS	NUMBER FILED	NUMBER PREV. PAID FOR	MAX. PAID	NUMBER OF ADD'L CLAIMS	RATE		
Total Claims	0	<input checked="" type="checkbox"/>	20		x \$50	\$0.00	\$0.00
Independent Claims	0	<input checked="" type="checkbox"/>	3		x \$200	\$0.00	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S)					+ \$360	\$0.00	
TOTAL OF ABOVE CALCULATIONS =							

APPEALS/INTERFERENCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1401	Notice of Appeal	\$500.00	
<input type="checkbox"/>	1402	Filing a Brief in Support of an Appeal	\$500.00	
<input type="checkbox"/>	1403	Request for oral Hearing	\$1,000.00	
SUB TOTAL			\$ 0.00	

POST-ALLOWANCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1501/1511	Utility/Reissue Issue Fee	\$1,400.00	
<input type="checkbox"/>	1502	Design Issue Fee	\$800.00	
<input type="checkbox"/>	1503	Plant Issue Fee	\$1,100.00	
SUB TOTAL			\$ 0.00	

TOTAL OF FEES SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS			\$250.00
<input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		x 1.00= \$250.00

FEES NOT SUBJECT TO REDUCTION FOR SMALL ENTITY STATUS

POST-ISSUANCE FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1811	Certificate of Correction	\$100.00	
<input type="checkbox"/>	1812	Request for Ex Parte Reexamination	\$2,520.00	
<input type="checkbox"/>	1813	Request for Inter Partes Reexamination	\$8,800.00	
SUB TOTAL			\$ 0.00	

MISCELLANEOUS FEES

	FEE CODE	DESCRIPTION	FEE	SUBMITTED
<input type="checkbox"/>	1053	Non-English Specification	\$130.00	
<input type="checkbox"/>	1806	Submission of an Information Disclosure Statement	\$180.00	
<input type="checkbox"/>	8001	Printed Copy of Patent	0 copies x \$3.00	
<input type="checkbox"/>	8021	Recording Assignment, Agreement or Other Paper	0 properties x \$40.00	
<input type="checkbox"/>	1504/1505	Publication/Republication Fee	\$300.00	
SUB TOTAL			\$ 0.00	

11/15/2006 LANDGRA 00000056 132725 10567407

02 FC:1251

120.00 DA	TOTAL FEES SUBMITTED	\$250.00
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NAME	William E. Beaumont	REGISTRATION NO.	30,996
SIGNATURE	<i>William E. Beaumont</i>	DATE	November 13, 2006
NAME		TELEPHONE	202 326-0300
		REGISTRATION NO.	



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/567,407	FIRST NAMED APPLICANT Arthur Deboeck	ATTY. DOCKET NO. 2505-017
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22429
LOWE HAUPTMAN BERNER, LLP
1700 DIAGONAL ROAD
SUITE 300
ALEXANDRIA, VA 22314

**MERCHANT & GOULD
RECEIVED**

AUG 17 2006

MPLS
D.C. OFFICE
DOCKETED BY: SEAN
DUE DATE: 10-11-06
MISSING PARTS

INTERNATIONAL APPLICATION NO.

PCT/BE04/00111

I.A. FILING DATE

08/04/2004

PRIORITY DATE

08/05/2003

CONFIRMATION NO. 9466

371 FORMALITIES LETTER



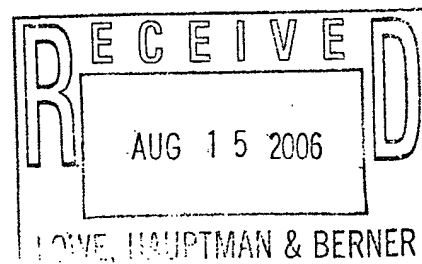
OC000000019982758

Date Mailed: 08/11/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/06/2006
- Copy of the International Search Report filed on 02/06/2006
- Copy of IPE Report filed on 02/06/2006
- Information Disclosure Statements filed on 02/06/2006
- U.S. Basic National Fees filed on 02/06/2006
- Priority Documents filed on 02/06/2006
- Specification filed on 02/06/2006
- Claims filed on 02/06/2006
- Abstracts filed on 02/06/2006



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/567,407	PCT/BE04/00111	2505-017

FORM PCT/DO/EO/905 (371 Formalities Notice)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS		ATTORNEY DOCKET NO. 70075.0009USWO
		U.S. APPLICATION SERIAL NO. (If known, see 37 C.F.R. §1.5) 10/567,407
INTERNATIONAL APPLICATION NO. PCT/BE2004/000111	INTERNATIONAL FILING DATE August 5, 2003	PRIORITY DATE CLAIMED
TITLE OF APPLICATION SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN HOMOCYSTEINE REDUCING AGENT		
APPLICANT(S) FOR DO/EO/US Arthur M. DEBOECK et al.		

MAIL STOP: PCT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Dear Sir:

Responsive to the notification dated August 11, 2005, and in compliance with the provisions of 37 C.F.R. 1.497(a) and (b), Applicants submit herewith an executed Declaration in connection with the above-referenced patent application. The Declaration identifies the instant application by its title "SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN HOMOCYSTEINE REDUCING AGENT," which title was on the specification of the application as filed under 35 U.S.C. §371 on February 6, 2006. The required fee was paid at the time of filing the application.

In view of the foregoing, this application is deemed to be in proper condition for examination on the merits and such favorable action is earnestly solicited.

S/N 10/567,407

Attorney Docket No. 70075.0009USWO

Respectfully submitted,

MERCHANT & GOULD, P.C.

November 13, 2006

Date

William E. Beaumont

William E. Beaumont

Registration No. 30,996

P.O. Box 2903

Minneapolis, Minnesota 55402-0903

Telephone No. (202) 326-0300

Facsimile No. (202) 326-0778

23552

Patent & Trademark Office

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

LIST OF PRACTITIONERS TO BE RECOGNIZED BY THE OFFICE AS BEING OF RECORD			ATTORNEY DOCKET NO. 70075.0009USWO	
			U.S. APPLICATION SERIAL NO. 10/567,407	CONFIRMATION NO. 9466
			FILING DATE Not Assigned	
INVENTOR(S) Arthur M. DEBOECK et al.		EXAMINER (if known) Not Assigned		ART UNIT (if known) N/A
TITLE OF APPLICATION SINGLE UNIT PHARMACEUTICAL COMPOSITION COMPRISING A MIXTURE OF A FIBRATE AND AN HOMOCYSTEINE REDUCING AGENT				

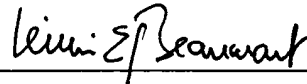
Mail Stop PCT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Please recognize the following practitioner(s) as being of record in the above-captioned patent application:

1. William E. Beaumont

Registration No. 30,996.

Respectfully submitted,
MERCHANT & GOULD, P.C.



William E. Beaumont
Registration No. 30,996

November 13, 2006

Date

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Patent & Trademark Office